

REPUBLIC OF TRINIDAD AND TOBAGO

THE TRUSTEE ORDINANCE, CH. 4 of 1939

[Section 10D(1)]

FORM 4

RETURN OF BENEFICIAL OWNERSHIP OF A TRUST/LEGAL ARRANGEMENT

Where the information is required to be set out in relation to more than one party in an Item below, additional tables may be added or alternatively schedules may be incorporated into the form.

- 1. Registration Number _____
- 2. Statement under section 10C received on the following date(s): _____
- 3. Changes to the list of beneficial owners of the company:

(a) Particulars of individual(s) becoming beneficial owners of the Trust/Legal Arrangement:

Name	Address	Occupation	Nationality	Role	Nature of Beneficial Interest	Date of becoming beneficial owner

(b) Particulars of individual(s) ceasing to be beneficial owner (s) of the Trust/Legal Arrangement:

Name	Address	Occupation	Nationality	Nature of Beneficial Interest	Date of ceasing to be beneficial owner

(c) Change of particulars (information) of existing beneficial owners of the Trust/Legal Arrangement:

Name and Particulars of Change of Name	Address and Date of Change	Occupation and Date of Change	Nationality and Date of Change

4. List of beneficial owners of the Trust/Legal Arrangement as of this date:

Name _____ Address _____

Occupation _____ Nationality _____

Nature of beneficial interest -

Trustee/ Administrator

Settlor/ Equivalent Position

Protector/ Equivalent Position

Beneficiary/Class of Beneficiary

Other _____

DECLARATION OF ACCURACY OF PRESENTED INFORMATION

I, the undersigned, declare that the information contained in this form is to the best of my knowledge, information and belief, true and correct.

5. Date	Name and Title	Signature

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Instructions

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 2 of the Regulations under the Ordinance.

Item 1

State the registration number of the trust/legal arrangement.

Item 2

State the date(s) on which the statement under section 10C of The Trustee Ordinance Ch. 4 of 1939 was/were received.

Item 3

- (a) For individual(s) becoming beneficial owner(s) of the Trust/Legal Arrangement, state the following information for each individual:
- state first name(s), middle name(s) and surname(s);
 - state address including the building number and, if a multi-unit building, unit number;
 - state occupation/calling. In the case of a natural person who has no business occupation, state “Not applicable” or “N/A”;
 - state the nationality;
 - state role within the trust/legal arrangement;
 - state the nature of beneficial interest; and
 - state the date on which individual became a beneficial owner.
- (b) For individual(s) ceasing to be beneficial owner(s), state the following information for each individual -
- first name(s), middle name(s) and surname(s);
 - address including the building number and, if a multi-unit building, unit number;
 - occupation/calling. In the case of a natural person who has no business occupation, state “Not applicable” or “N/A”;
 - the nationality;
 - the nature of beneficial interest; and
 - the date on which individual ceased being a beneficial owner.

- (c) For any changes of particulars (information) of existing beneficial owners of the Trust/Legal Arrangement state the following (if applicable):
- first name(s), middle name(s) and surname(s) of beneficial owner to which the change would apply. Particulars of name changes need to be stated only if there is a change in name.
 - the address including the building number and, if a multi-unit building, unit number and the date of the change of address, only if there is change in the address;
 - any change in occupation/calling and date of change only if there is a change in occupation. In the case of a natural person who has no business occupation, state “Not applicable” or “N/A”; and
 - the nationality and date of change only if there has been a change in nationality.

Item 4

With respect to each person whose name is entered in the register of beneficial owners of the trust or the holder of the beneficial and/or controlling interest in the trust or legal arrangement, as at the date of the Return of Beneficial Ownership of the Trust/Legal Arrangement, please state the following:

- (a) State first name(s), middle name(s) and surname(s) of the beneficial owner;
- (b) State full address including the building number and, if a multi-unit building, unit number;
- (c) State occupation/calling. In the case of a natural person who has no business occupation state “Not applicable” or “N/A”;
- (d) State the nationality of the beneficial owner; and
- (e) Indicate the nature of the beneficial interest (select from the categories listed). If “Other” selected, indicate what is the nature of the beneficial interest.

Signature -

A trustee or administrator can sign the return and indicate the date, his first name (s) and surname (s), and his title.

NOTE: The Trustee Ordinance Ch. 4 of 1939 (as amended) requires the disclosure of beneficial ownership information, (and any changes) with penalties for non-compliance.

