

“REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, CHAP. 81:01

[Section 337B(2), 337C(1)]

FORM 45

RETURN OF BENEFICIAL OWNERSHIP OF A COMPANY

(References to a ‘company’ shall include external companies.)

1. Name of Company_____

2. Company No_____

3. Registered Office of Company _____

4. Particulars of beneficial owners of the Company:

(a) Particulars of individual(s) becoming beneficial owners of the Company –

Name	Address	Occupation	Nationality	Nature of Beneficial Interest	Date of becoming beneficial owner

(b) Particulars of individual(s) ceasing to be a beneficial owner of the Company –

Given Name(s) & Surname	Address	Occupation	Nationality	Nature of Beneficial Interest	Date of ceasing to be a beneficial owner

(c) Change of particulars (information) of existing beneficial owners of the Company –

Given Name(s) & Surname/Particulars of Change of Name	Address and Date of Change	Nationality and Date of Change

5. List of beneficial owner(s) of the Company as of this date -

Name _____

Address _____

Occupation _____

Nationality _____

Nature of beneficial interest -

Direct ownership of 10% or more of the shares or membership interest.

Indirect ownership of 10% or more of the shares or membership interest.

(Describe the nature of beneficial interest)

Control of shares or membership interest by other means.

- By Trust or other form of legal arrangements.
- Contractual arrangements
- Family arrangements, e.g. politically exposed persons
- Other

(Describe the nature of beneficial interest)

Ultimate effective control of the company indirectly or through other means.

(Describe the nature of beneficial interest)

Control by person holding senior managing position.

(Describe the nature of beneficial interest)

DECLARATION OF ACCURACY OF PRESENTED INFORMATION

I, the undersigned, declare that the information contained in this form is, to the best of my knowledge, information and belief, true and correct.

6. Date	Name and Title	Signature

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Instructions

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 3 of the Regulations under the Act.

Items 1, 2

Set out the full legal name of the company and the number of the company as indicated in its Certificate of Incorporation.

Item 3

State the full address of registered office of the company.

Item (4a)

State the particulars of each natural person who became a beneficial owner or holder of a controlling interest since the date of the last return filed.

Item 4(b)

State the particulars of each natural person who has ceased to be a beneficial owner or holder of a controlling interest since the date of the last return filed.

Item 4(c)

- (a) state the full name of the beneficial owner(s) who the change(s) apply/ applies to and set out the particulars of any change of name including the new name, date of change and by virtue of what authority the change of name was effected;
- (b) the address needs to be stated only if there is a change in the location or address;

state the full address including the building number and, if a multi-unit dwelling, unit number and the date on which the change became effective; and

- (c) the nationality needs to be stated only if there is a change;
state the nationality and the date on which the change became effective.

Item 5

- (a) state the particulars of each person whose name is entered in the register of beneficial owners of the company as the holder of the beneficial and/or controlling interest in the shares or membership interest held, as at the date of this return;
- (b) state whether the beneficial owner holds beneficial interest in 10% or more of the of shares or membership interest directly, indirectly or exercises control of the shares or membership interest through other means (state particulars of the other means);
where no member of the company who holds 10% or more of the shares or membership interest of the company or exercises ultimate effective control over the company can be identified, state the senior managing position held by the member.

Signature -

A director or an authorized officer of the company or, respectively an authorised corporate service provider on their behalf, shall sign the return indicating the capacity in which he is signing.

NOTE: The Companies Act, Chap. 81:01 requires the disclosure of beneficial ownership information (and any changes), with penalties for non-compliance.