

SCHEDULE I

(Rule 2)

REPUBLIC OF TRINIDAD AND TOBAGO

THE PARTNERSHIP ACT CHAP. 81:02

[Section 20C(1), (2)]

FORM 1

**STATEMENT IN RESPECT OF
BENEFICIAL OWNERSHIP OF A FIRM**

1. Name of Firm _____

2. Business No. _____
(Pursuant to Registration of Business Names Act, Chap. 82:85)

3. Partners who are Individuals:

3.1. Particulars of Partners -

Name	
Address	
Nationality	
Occupation	
Form of Identification	
Identification Number	
Country of Issue	
Expiry Date	

3.2. Beneficial Ownership Status -

I, the above-named Partner, confirm that -
(Select the option that is applicable)

- I **am** a beneficial owner of the Firm for the following reason(s):
 - a natural person who is a partner of the firm;
 - a natural person who exercises ultimate effective control over the firm, the particulars of which are -

 - a natural person on whose behalf a transaction is being conducted, the particulars of which are -

- I **am not** a beneficial owner of the Firm and, the following person is a beneficial owner of the Firm on the basis indicated:

Name	
Address	
Nationality	
Occupation	
Nature of Beneficial Interest <i>(Select the option that is applicable)</i>	a natural person who is a partner of the firm;
	a natural person who exercises ultimate effective control over the firm, the particulars of which are -
	a natural person on whose behalf a transaction is being conducted, the particulars of which are -

4. Partners who are Corporations -

4.1. Particulars of the Partner and particulars of a Director or Secretary of the Corporation -

Corporate Name	
Registered Office Address	
Jurisdiction of Incorporation/Formation	
Status	

Name of Officer of the Corporation			
Title of Officer of the Corporation	Director		Secretary
Form of Identification			
Identification Number			
Country of Issue			
Expiry Date			

4.2. Beneficial Owner Status -

I, the above-named, confirm on behalf of the Corporation stated above, that the following person(s) is/are beneficial owner(s) of the Firm pursuant to section 20A(d)(i) of the Partnership Act Chap. 81:02:

Name	
Address	
Nationality	
Occupation	

5. Individuals who are not partners but are beneficial owners of the firm -

5.1. Particulars of the beneficial owner -

Name	
Address	
Nationality	
Occupation	

Form of Identification	
Identification Number	
Country of Issue	
Expiry Date	

5.2. Beneficial Owner Status –

I, the above-named, confirm that I **am** a beneficial owner of the Firm, for the following reason(s)-

- a natural person who exercises ultimate effective control over the firm, the particulars of which are -

- a natural person on whose behalf a transaction is being conducted, the particulars of which are -

Dated the _____ **day of** _____, _____.

Name:

Title:

THE PARTNERSHIP ACT, CHAP. 81:02

FORM 1

**STATEMENT IN RESPECT OF
BENEFICIAL OWNERSHIP STATUS OF THE FIRM**

Instructions

Items 1, 2

State the full name of the Firm and the business number as set out in the Certificate of Registration (if a business number has been assigned.)

Item 3

To be completed where the statement is being submitted by a partner of the firm who is an individual (natural person).

Item 3.1

State the particulars of the partner who is an individual -

- (a) state the first name(s), middle name(s) and surname(s) (no abbreviations), the full address, occupation and nationality of the partner; and
- (b) state the form of identification submitted by the partner whether the national identification card, driver's permit or passport and the number of the form of identification submitted, the country the identification card was issued in and the expiry date of the identification document.

Item 3.2

- (a) indicate whether the individual is a beneficial owner of the firm;
- (b) where the individual is a beneficial owner set out the basis upon which the individual is considered a beneficial owner; and
- (c) where the individual is not a beneficial owner set out the particulars of the individual(s) known by the partner to be a beneficial owner of the firm and the basis upon which the individual(s) is/are considered a beneficial owner.

Item 4

To be completed where the statement is being submitted by a partner of the firm who is a corporation.

Item 4.1

State the particulars of the partner who is a corporation and the particulars of the director or secretary of the corporation who is completing the statement on behalf of the corporation -

- (a) state the corporate name (no abbreviations) and registered office address of the corporation;
- (b) state the jurisdiction of incorporation or formation of the corporation;
- (c) indicate the status of the corporation e.g. limited or unlimited liability corporation incorporated under the laws of Trinidad and Tobago, or elsewhere;
- (d) state the full name of the officer of the corporation inclusive of their first given name(s), middle name(s), and surname(s). (no abbreviations);
- (e) state whether the role or designation of the officer of the corporation acting for and on behalf of the corporation is that of a director or secretary; and
- (f) state the form of identification submitted by the officer whether the national identification card, driver's permit or passport, the number of the identification document submitted, the country of issue of the identification document and the expiry date of the identification document.

Item 4.2

In accordance with section 20A(d)(i) of the Partnership Act Chap. 81:02, state the particulars of the beneficial owner(s) of the corporation, as determined pursuant to section 337A of the Companies Act, Chap. 81:01 or section 4 of the Securities Act, Chap. 83:02.

State the first name(s), middle name(s) and surname(s) (no abbreviations) the full address, occupation as applicable and nationality of each beneficial owner of the corporation, that is a partner of the firm.

Item 5

To be completed where the statement is being submitted by an individual (natural person) who is a beneficial owner of the firm and is not a partner of the firm.

Item 5.1

- (a) state the first name(s), middle name(s) and surname(s) (no abbreviations), the full address, occupation and nationality of the individual; and
- (b) state the form of identification submitted by the individual whether the national identification card, driver's permit or passport and the number of the form of identification submitted, the country the identification card was issued in and the expiry date of the identification document.

Items 5.2

Set out the basis upon which the individual is considered a beneficial owner.

Signature -

The person making the statement shall sign the form. In the case of a partner that is a corporation, the director or secretary completing the statement shall sign on behalf of the corporation, indicating the capacity in which they are signing.

