

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, CH. 81:01
[Section 337D(1),(2)]

RETURN OF ISSUANCE OR TRANSFER OF SHARES

1. Name of Company.....
2. Company No.....
3. Registered Office of Company.....
4. Particulars of Issuance of Shares by the Company:

Class & Number of Shares Issued	Amount of Share Capital	Given Name(s) and Surname(s)/Corporate Name(s) of person(s) holding shares	Address/Registered Office	Occupation/Status	Nationality/Jurisdiction of Incorporation/Formation	Date of Registration	Given Name(s) and Surname(s) address, occupation & nationality of ultimate beneficial owner(s) of shares issued

5. Particulars of Registration of Transfer of Shares by the Company:

Particulars of Shares transferred since the date of the last Return or (in the case of the first Return) of the incorporation of the Company, or of its continuance, by persons who-						
are still Shareholders		have ceased to be Shareholders				
Class of shares and Number at date of Return	Date of Registration of Transfer	Class of Shares and Number at date of Return	Date of Registration of Transfer	Given Name(s) & Surname(s)/Corporate Name(s), of Transferor(s)	Given Name(s) & Surname(s)/Corporate Name(s), of Transferee(s)	Given Name(s) and Surname(s), Address, Occupation and Nationality of ultimate beneficial owner(s) of shares

6. The person(s) holding shares in the company as of this date is(are):

Given Name(s) & Surname(s)/ Corporate Name(s)	Address/Registered Office	Occupation/ Status	Nationality/ Jurisdiction of Incorporation/ Formation	Number & Class of Shares

7. Date	Name and Title	Signature

THE COMPANIES ACT, CH. 81:01

RETURN OF ISSUANCE OR TRANSFER OF SHARES

FORM 46

INSTRUCTIONS

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 3 of the Regulations under the Act.

Items 1, 2

Set out the full legal name of the company and the number of the company as indicated in its Certificate of Incorporation.

Item 3

State full address of registered office of the company.

Item 4

(a) State the relevant class(es) and number of share(s) issued;

(b) State the consideration received for the share(s) issued;

With respect to each person holding a share(s) and each ultimate beneficial owner(s):

(c) i. In the case of a natural person state the first given name, middle name and family name;
ii. In the case of a body corporate state the registered name;

(d) i. In the case of a natural person state the full street address including the building number and, if a multi-dwelling unit, unit number;
ii. In the case of a body corporate state the address of registered office;

(e) i. In the case of a natural person specify the occupation clearly. Where possible, specify area of speciality, e.g. *electrical* engineer.
ii. In the case of a body corporate status means “corporation”/“limited” or “unlimited liability company”;

(f) i. In the case of a natural person state the nationality;
ii. In the case of a body corporate state the jurisdiction in which the body corporate was incorporated or formed;

(g) State the date of entry of the person holding the share(s) in the register of members.

Item 5

State the particulars of shares transferred since the date of the last return or since the date of incorporation, continuance or amalgamation of the company by persons who are still shareholders or who have ceased to be shareholders, as the case may be.

In either case state the following:

- (a) The date of registration of each transfer of shares;
- (b) The relevant class(es) of share(s) and number transferred;
- (c) The particulars of the transferor(s) in the case of a natural person or body corporate;
- (d) The particulars of the transferee(s) in the case of a natural person or body corporate;
- (e) The particulars of the ultimate beneficial owner of each share transferred.

Item 6

State the particulars of each person holding a share or shares in the company as at the date of the return.

Signature

A director/the company secretary or authorised officer of the company shall sign a return.

Completed documents, in duplicate, and the prescribed fees are to be filed at the office of the Registrar and one set of the duplicate originals would be returned to the company or its representative with the endorsement "Registered" and the date of registration.

Note: The Companies Act, Chapter 81:01 (as amended by the Companies (Amendment) Act, 2019) requires the disclosure of beneficial ownership information, (and any changes) with penalties for non-compliance.