

**THE COMPANIES ACT, CH. 81:01
NOTICE OF BEARER SHARES, BEARER SHARE CERTIFICATES,
SHARE WARRANTS AND BEARER SHARE WARRANTS ISSUED BY
AN EXTERNAL COMPANY
IN TRINIDAD AND TOBAGO**

**FORM 38
INSTRUCTIONS**

Format

Documents required to be sent to the Registrar pursuant to the Act must conform to regulation 3 of the Regulations under the Act.

Items 1, 2

Set out the full legal name of the external company and the number of the external company as indicated in its Certificate of Registration.

Item 3

State the jurisdiction in which the external company was incorporated.

Item 4

State the full address of principal office in Trinidad and Tobago.

Item 5

State the number of bearer shares, bearer share certificates, share warrants and/or bearer share warrants issued by the company prior to 30th May 2019 and the date of issuance:

Signature

A director/the company secretary or authorised officer of the company shall sign a notice.

Completed documents, in duplicate, and the prescribed fees are to be filed at the office of the Registrar and one set of the duplicate originals would be returned to the company or its representative with the endorsement "Registered" and the date of registration.

FORM 39

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, CH. 81:01

(Section 333A(4))

**NOTICE TO HOLDERS OF BEARER SHARES, BEARER SHARE
CERTIFICATES, SHARE WARRANTS OR BEARER SHARE
WARRANTS ISSUED BY AN EXTERNAL COMPANY
IN TRINIDAD AND TOBAGO**

(NAME OF EXTERNAL COMPANY)

TAKE NOTICE that, by virtue of section 333A(1) of the Companies Act Ch. 81:01 (as amended by the Companies (Amendment) Act No. 6 of 2019) ("Act") no external company may issue a bearer share, bearer share certificate, share warrant or bearer share warrant in Trinidad and Tobago whether or not it is permitted to do so in its country of origin.

Section 333A(5) of the Act requires every holder of a bearer share, bearer share certificate, share warrant or bearer share warrant issued by (state the name of the external company) in Trinidad and Tobago, prior to 30th May 2019, to bring in the bearer share, bearer share certificate, share warrant or bearer share warrant to the external company for registration.

You are advised to bring in the bearer share, bearer share certificate, share warrant or bearer share warrant issued by (state the name of the external company) to (state the address of the principal office in Trinidad and Tobago), for registration, before the expiration of six months of receipt of this notice.

Section 333A(7) of the Act provides that failure to bring in the bearer share, bearer share certificate, share warrant or bearer share warrant to the external company within six months of receipt of this notice, without reasonable cause, constitutes an offence for which the penalty on summary conviction is a fine of ten thousand dollars and imprisonment for three years and for every day in which the offence continues, a further fine of three hundred dollars.

In this regard, it is in your interest to comply with the above-mentioned sections of the Act.

Dated this day of, 20.....

Signature
(State designation of position
in relation to the Company)

FORM 40

REPUBLIC OF TRINIDAD AND TOBAGO

THE COMPANIES ACT, CH. 81:01

[Section 337B(2)]

**NOTICE TO SHAREHOLDERS OF THE COMPANY
TO DECLARE NON-BENEFICIAL OWNERSHIP**

(NAME OF COMPANY)

TO:

TAKE NOTICE that section 337C(1) of the Companies Act, Chapter 81:01, (as amended by the Companies (Amendment) Act No. 6 of 2019) ("the Act"), requires the person whose name is entered in the register of members of a company as the holder of shares in that company but who does not hold the